

October 1, 2004



IFW

Stephen M. Beney B.Sc. (Physics)  
416 957 1697 sbeney@bereskinparr.com

Our Reference: 3445-151

### Information Disclosure Statement

Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA  
U.S.A. 22313-1450

Dear Sir:

**Re: United States Patent Application No. 10/811,154**  
**Filed: March 29, 2004**  
**For: IMPROVED FRAME ASSEMBLY FOR WINDORS OR DOORS**  
**Applicant: Petta et al.**

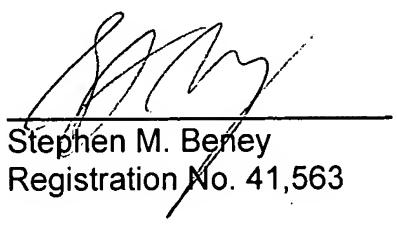
In accordance with 37 CFR 1.56 and 1.97, the applicant hereby submits an Information Disclosure Statement including a listing of patents and other publications of which applicant is aware on form PTO/SB/08A. Further, in accordance with the waiver by the Office of the requirement under 37 CFR 1.98(a)(2)(i) for applications filed after June 30, 2003, this Information Disclosure Statement is filed without copies of the cited U.S. Patents.

In accordance with the requirements of 1.97(b)(1) and (3), this Information Disclosure Statement is filed within three months of the filing date of the application or before the mailing date of a first Office Action on the merits, and no fee is required.

Respectfully Submitted,

**PETTA et al.**

By:

  
Stephen M. Beney  
Registration No. 41,563

/np  
Encl.

*Please send all correspondence to the Toronto office:*

Scotia Plaza, 40 King St. West, 40th Floor,  
Toronto, Ontario, Canada M5H 3Y2  
Tel: 416.364.7311 Fax: 416.361.1398

2000 Argentia Rd., Plaza 4, Ste. 430,  
Mississauga, Ontario, Canada L5N 1W1  
Tel: 905.812.3600 Fax: 905.814.0031

Waterloo Technology Campus, 408 Albert St., Ste. 2,  
Waterloo, Ontario, Canada N2L 3V3  
Tel: 519.783.3210 Fax: 519.783.3211



Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

1

of

1

### Complete if Known

Application Number	10/811,154
Filing Date	March 29, 2004
First Named Inventor	Petta et al.
Art Unit	3673
Examiner Name	Not yet assigned

Attorney Docket Number

3445-151

### U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
	1.	US- 2002/0124494	9/12/2002	Zen	
	2.	US-3,861,444	1/21/1975	Portwood	
	3.	US-4,040,219	08/09/1977	Budich	
	4.	US-4,114,317	9/19/1978	Crawley	
	5.	US-4,554,770	11/26/1985	Anders	
	6.	US-4,610,472	9/9/1986	Billingsley	
	7.	US-4,621,478	11/11/1986	Phillips et al.	
	8.	US-4,674,246	6/23/1987	Giguere	
	9.	US-4,799,332	1/24/1989	Haas	
	10.	US-4,803,808	2/14/1989	Greisner	
	11.	US-4,815,246	3/28/1989	Haas	
	12.	US-5,099,624	3/31/1992	Valentin	
	13.	US-5,118,145	6/2/1992	Tucker	
	14.	US-5,189,837	3/2/1993	Kawasaki	
	15.	US-5,189,841	3/2/1993	Arbatter	
	16.	US-5,280,686	1/25/1994	Davies	
	17.	US-5,379,518	1/10/1995	Hopper	
	18.	US-5,522,191	6/4/1996	Wenner et al.	
	19.	US-5,678,366	10/21/1997	Nambo et al.	
	20.	US-5,692,349	12/2/1997	Guillemet	
	21.	US-5,839,234	11/24/1998	Mayer	
	22.	US-6,047,514	4/11/2000	Verch	
	23.	US-6,073,412	6/13/2000	Verch	
	24.	US-6,109,668	8/29/2000	Demarco	
	25.	US-6,135,511	10/24/2000	Smith et al.	
	26.	US-6,311,439	11/6/2001	Arcati et al.	
	27.	US-6,431,620	8/13/2002	Tremblay et al.	
	28.	US-6,747,797	6/8/2004	Liu et al.	
	29.	US-6,749,797	6/15/2004	Kownacki et al.	

### FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				

Examiner Signature

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.